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| UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE | CASE NO. <i>WA11157</i> |
| | VIOLATOR |
| | Sound Pharmaceuticals, Inc 91-R-0057 / 23329 |
| | ADDRESS (Street, City, State, Zip Code) |
| | 4010 Stone Way N Suite 120 Seattle, WA 98103 |

The Department of Agriculture has evidence that on or about **August 22, 2011 and May 20, 2010**, you or your organization committed the following violation of Federal Regulations:

Section

2.31(c)(3) Institutional Animal Care and Use Committee (IACUC)repeated failure of the IACUC to prepare reports of its semi-annual evaluations, and submit the reports to the Institutional Officer of the research facility

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

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| APHIS OFFICIAL (Name and Title) | OFFICE ADDRESS: | |
| Robert M. Gibbens, Director | 2150 Centre Ave. Building B, MS3W11 Fort Collins, CO 80526 | |
| SIGNATURE | DATE ISSUED | TELEPHONE NO. AC |
|  | 9/29/11 | (970) 494-7478 |
| FOR PERSONAL SERVICE - RECEIVED BY: (Name and signature) | DATE RECEIVED: | |
| FOR CERTIFIED MAIL - RECEIPT NO: 7011 0110 0001 6116 3485 | | |
| APHIS FORM 7060 (JUN 91) | Previous editions may be used PART 1 - VIOLATOR | |